

IRRS Good Practices

Inspection (Module 7)

Generic Issues

Guatemala – Initial Mission

Mission Date: February 2017

Good Practice

The Direction General of Energy (DGE), the Guatemalan regulatory body, was conducting proactive “verification” inspections to identify institutions and individuals who required an authorization but who had never applied, and required them to obtain authorization or cease their activities. This was considered a good practice.

Observation

DGE performed proactive “verification” inspections (limited scope inspection) to identify institutions and individuals who required an authorization but who had never applied, and required them to obtain authorization or cease their activities.

Basis

GSR Part 1 (Rev. 1), Requirement 27, states that “*The regulatory body shall carry out inspections of facilities and activities to verify that the authorized party is in compliance with the regulatory requirements and with the conditions specified in the authorization.*”

IAEA Comments/Highlights

For several years, DGE performed proactive “verification” inspections (limited scope inspections) to identify entities and individuals who never had an authorization and entities who had an authorization in the past. When DGE inspectors first began the verification inspections, more than 30 percent of the facilities visited had no authorization.