

TALKS ON OPERATIONAL SAFETY Insights From Senior Executives

How Top Performers Drive and Sustain High Performance 16 July 2020

14:00PM Vienna Time, Austria



How Duke Energy Nuclear Improved and Sustains High Fleet Performance



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Duke Energy

Improving Performance





ALWAYS SAFE | ALWAYS ON | ALWAYS BOLD

DUKE ENERGY NUCLEAR

Set a Department Vision



- Consistent with the corporate vision
- Develop a mission statement to define in broad terms how to get to there
- Use strategy as the detailed plan to achieve the vision





Develop Realistic Strategy to Deliver Results



- Safety personal, nuclear, radiological, environmental
- Employee Engagement
- Optimized Reliability
- Maximized Capacity
- Advocacy
- Industry Leadership
- Regulatory Engagement







Leadership Matters



 Develop an aligned leadership team that can deliver consistent results





Nuclear Leadership Development Pipeline

Duke Energy Nuclear Duke Energy Enterprise INPO

LEAD

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Next-Level Leader Mid-Level Leader Leadership Development Program

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UPMS

Executive

Accelerators

un interaction

LEAD Alumni Sessions

Navigation Essentials Navigation Ever Situational Leadership

LEAD

FILE

Culture is Important



 Develop an organizational culture that can get you where you want to go – and keep you there





Duke Energy Innovation Center at Optimist Hall USA, Charlotte, N.C.

Nuclear Generation Interns

Build a Culture of Innovation

- Innovation metrics
- Innovation immersion events
- Rewards and recognition structure
- Innovation incorporated into standard processes and procedures
- Communication of innovation wins



Innovation Tools Training Workshops

- Facilitated by employee Innovation Champions
- Designed to deploy innovation across the fleet
- Open to all employees









Cultural Excellence



10 Principles of Cultural Excellence in Nuclear Operations

- 1. Clearly Defined Missions Maximize Opportunities to Succeed
- 2. Alignment Is an Imperative to Accomplish the Mission
- 3. Well-Defined Plans Provide the Necessary Guidance to Accomplish the Mission
- 4. High Operational Standards Accelerate Achievement
- 5. Standardization Economizes the Effort to Achieve

- 6. Working as One Creates Ownership of Achievement
- 7. Ethics Enhance Pride in Achievement
- 8. Leadership Provides Purpose
- Value the Ability to Identify a Problem Value the Ability to Resolve It More
- 10. Intrusive Engagement Demonstrates Endorsement



Provide Ongoing Oversight





Provide Ongoing Oversight



Oversight Model Defense in Depth

NOC – Nuclear Oversight Committee of the Board of Directors.

NSRB – in 2019, increased site frequency and in 2020 will begin corporate NSRB (twice per year).

Independent Oversight Team – outside team of experts reviewing site and corporate performance. Quarterly assessments looking for early sign of decline related to organizational changes. Report out to CNO (new in 2019).

Independent Review Board (IRB) – consists of deep dives, excellence plans, intrusive and frequent CFAM oversight, and monthly boards with executives. In 2019, IRBs at Oconee, Robinson, McGuire and Brunswick. Following closure, continued enhanced oversight to ensure sustainability. New in 2019, call IOTs early on behaviors.

Fleet Operational Readiness and Safety Evaluation (FORSE) – monthly, unannounced, graded assessment of a specific operations crew (new in 2019).

Deep Dives – both site and fleet intrusive evaluations on a topical area. For example, IER 17-5 deep dives were completed across the sites following the significant event. Teams include CFAM, site experts and outside peers.

Escalations – focus on emerging, narrow gaps before self-revealing events. For example, plant status control escalation at Oconee based on behaviors when site has a trend of over 500 days without a PSC event. Fleet encourages early and frequent escalations. In the past, averaged approximately 30 escalation per year. For 2019 year to date, 26 escalations.

CFAM/MRMs – MRMs - MRMs (PIC top quartile – new in 2019), focused (fuel...), self-criticality (new in 2019). Products – industry excellent standards, monthly ranking/trajectory, escalations, fleet excellence plans (organized around Staying on Topic), monthly CNO report-outs and critical mid-cycles, including outside peers. In 2019, added engineering CFAM.

Site Monitoring Leads - focus on field oversight of critical alignment elements (new in 2019). Products - observations, monthly reports, escalations and monthly CNO report-outs.



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Q&A Session